

# Introduction to L-functions II: Automorphic L-functions

## References:

- D. Bump, *Automorphic Forms and Representations*.
- J. Cogdell, *Notes on L-functions for  $GL(n)$*
- S. Gelbart and F. Shahidi, *Analytic Properties of Automorphic L-functions*.

First lecture:

Tate's thesis, which develop the theory of L-functions for Hecke characters (automorphic forms of  $GL(1)$ ). These are degree 1 L-functions, and Tate's thesis gives an elegant proof that they are "nice".

Today: Higher degree L-functions, which are associated to automorphic forms of  $GL(n)$  for general  $n$ .

### **Goals:**

(i) Define the L-function  $L(s, \pi)$  associated to an automorphic representation  $\pi$ .

(ii) Discuss ways of showing that  $L(s, \pi)$  is "nice", following the paradigm of Tate's thesis.

## The group $G = \mathbf{GL}(n)$ over $F$

$F =$  number field.

Some subgroups of  $G$ :

(i)  $Z \cong \mathbb{G}_m =$  the center of  $G$ ;

(ii)  $B =$  Borel subgroup of upper triangular matrices  $= T \cdot U$ ;

(iii)  $T =$  maximal torus of diagonal elements  $\cong (\mathbb{G}_m)^n$ ;

(iv)  $U =$  unipotent radical of  $B =$  upper triangular unipotent matrices;

(v) For each finite  $v$ ,

$K_v = \mathbf{GL}_n(\mathcal{O}_v) =$  maximal compact subgroup.

## Automorphic Forms on $G$

An automorphic form on  $G$  is a function

$$f : G(F) \backslash G(\mathbb{A}) \longrightarrow \mathbb{C}$$

satisfying some smoothness and finiteness conditions.

The space of such functions is denoted by  $\mathcal{A}(G)$ . The group  $G(\mathbb{A})$  acts on  $\mathcal{A}(G)$  by right translation:

$$(g \cdot f)(h) = f(hg).$$

An irreducible subquotient  $\pi$  of  $\mathcal{A}(G)$  is an automorphic representation.

## Cusp Forms

Let  $P = M \cdot N$  be any parabolic subgroup of  $G$ . For example,  $P$  is a subgroup of block upper triangular matrices.

Given  $f \in \mathcal{A}(G)$ , one may consider its “constant term” along  $N$ :

$$f_N(g) := \int_{N(F) \backslash N(\mathbb{A})} f(n g) dn.$$

**Definition:** Say that  $f$  is a cusp form if  $f_N = 0$  for all  $P = M \cdot N$ .

Let  $\mathcal{A}_0(G)$  denote the subspace of cusp forms. It is a  $G(\mathbb{A})$ -submodule of  $\mathcal{A}(G)$ .

In fact, this submodule is semisimple:

$$\mathcal{A}_0(G) = \bigoplus_{\pi} m(\pi) \pi,$$

as  $\pi$  ranges over irreducible representations of  $G(\mathbb{A})$ . A basic result says that  $m(\pi) = 0$  or  $1$ .

## Restricted Tensor Product

**Proposition:** An irreducible automorphic representation  $\pi$  has the form

$$\pi \cong \otimes'_v \pi_v$$

where

- $\pi_v$  is an irreducible representation of  $G(F_v)$ ;
- for almost all  $v$ ,  $\pi_v$  is an unramified representation, i.e.

$$\pi_v^{K_v} \neq 0.$$

- $\otimes'_v$  denotes restricted tensor product relative to the a  $K_v$ -fixed vector for almost all  $v$ .

To an irreducible automorphic representation, we would like to associate

$$L(s, \pi) = \prod_v L(s, \pi_v).$$

So we should first address the local questions:

(i) How to associate  $L(s, \pi_v)$  to any  $\pi_v$ ?

or less ambitiously

(ii) How to associate  $L(s, \pi_v)$  to an unramified  $\pi_v$ ?

## Unramified Representations

Recall that the set of irreducible unramified representations of  $G(F_v)$  has been classified.

**Theorem:** There is a natural bijection between

{ irreducible unramified reps of  $GL_n(F_v)$  }

and

{ unordered n-tuples of elements of  $\mathbb{C}^\times$  }

Elements of the 2nd set can be thought of as diagonal matrices

$$s_v = \text{diag}(a_1, \dots, a_n) \in GL_n(\mathbb{C}),$$

taken up to conjugacy.



Noting that  $GL_n(\mathbb{C})$  is the Langlands dual group of  $G = GL(n)$ , have:

**Restatement:** The unramified irred reps of  $GL_n(F_v)$  are in natural bijection with conjugacy classes of semisimple elements in the Langlands dual group

$$\widehat{G} = GL_n(\mathbb{C}).$$

This is the unramified “local Langlands correspondence” for  $GL(n)$ . For  $n = 1$ , it reduces to the “unramified local class field theory”.

Observe that the conjugacy class of a diagonal matrix

$$s_v = \text{diag}(a_1, \dots, a_n).$$

as above is determined by its characteristic polynomial

$$P_{s_v}(T) = (1 - a_1T) \dots (1 - a_nT).$$

## Standard L-factors for unramified representations

Given this, we make the following definition

**Definition:** If  $\pi_v$  is an unramified representation, the standard L-factor associated to  $\pi_v$  is:

$$\begin{aligned} L(s, \pi_v) &= \frac{1}{P_{s_v}(q^{-s})} \\ &= \frac{1}{\prod_v (1 - a_i q_v^{-s})} \\ &= \frac{1}{\det(1 - s_v q_v^{-s})}. \end{aligned}$$

Thus,  $L(s, \pi_v)$  determines  $s_v$  and hence  $\pi_v$ .

This is the analog of defining

$$L(s, \chi_v) = 1/(1 - \chi(\varpi_v)q_v^{-s})$$

for unramified  $\chi_v$ , so that it is compatible with local class field theory and local Artin L-factor.

What if  $\pi_v$  is not unramified?

Should we simply set  $L(s, \pi_v) = 1$ , like in  $n = 1$  case?

We would like to consider a family of zeta integrals associated to  $\pi_v$ , whose GCD is equal to  $L(s, \pi_v)$  when  $\pi_v$  is unramified. Then we would define  $L(s, \pi_v)$  for general  $\pi_v$  as the GCD of this family of zeta integral.

Such an approach was carried out by Godement-Jacquet, generalizing the zeta integrals of Tate.

## Matrix Coefficients

Fix the irreducible rep  $\pi_v$  and let  $\pi_v^\vee$  denote the contragredient rep of  $\pi_v$ .

So there is a natural  $G(F_v)$ -invariant pairing

$$\langle -, - \rangle : \pi_v^\vee \otimes \pi_v \longrightarrow \mathbb{C}$$

This is an element of

$$\mathrm{Hom}_{G(F_v)}(\pi_v^\vee \otimes \pi_v, \mathbb{C}).$$

By Schur's lemma, this Hom space is 1-dimensional.

For fixed vectors

$$f_v \in \pi_v \text{ and } f_v^\vee \in \pi_v^\vee,$$

one can form a function on  $G(F_v)$ :

$$\Phi_{f_v, f_v^\vee} : g \mapsto \langle f_v^\vee, g f_v \rangle.$$

Such a function is called a matrix coefficient of  $\pi_v$ .

The map

$$f_v \otimes f_v^\vee \mapsto \Phi_{f_v, f_v^\vee}$$

gives a  $G(F_v)$ -equivariant embedding

$$\pi_v^\vee \otimes \pi_v \hookrightarrow C^\infty(G(F_v)).$$

If the image of this map is contained in the space of functions which are compactly supported modulo  $Z(F_v)$ , then  $\pi_v$  is said to be a **supercuspidal** representation.

## Local Zeta Integrals of Godement-Jacquet

Suppress  $v$  from notations.

Given

- $f \in \pi$ ;
- $f^\vee \in \pi^\vee$ ;
- $\phi \in S(M_n(F))$ , where

$$M_n(F) = n \times n \text{ matrices over } F,$$

and

$$S(M_n(F)) = \{\text{Schwarz-Bruhat functions}\}$$

we set

$$Z(s, \phi, f, f^\vee) = \int_{\text{GL}_n(F)} \phi(g) \cdot \langle f^\vee, g \cdot f \rangle \cdot |\det(g)|^s dg.$$

Observe that when  $n = 1$ , this reduces to the local zeta integral of Tate.

## Local Theorem:

(i) There is a  $c$  such that whenever  $\operatorname{Re}(s) > c$ , the integral  $Z(s, \phi, f, f^\vee)$  converges absolutely for all  $\phi$ ,  $f$  and  $f^\vee$ .

(ii) The zeta integral is given by a rational function in  $q^{-s}$  and thus has meromorphic continuation to  $\mathbb{C}$ .

(iii) When  $\pi$  is unramified, the function

$$Z\left(s + \frac{n-1}{2}, \phi, f, f^\vee\right) / L(s, \pi)$$

is entire. Moreover, if  $\phi$ ,  $f$  and  $f^\vee$  are unramified vectors,

$$Z\left(s + \frac{n-1}{2}, \phi, f, f^\vee\right) = L(s, \pi).$$

Given the local theorem, we make the following

**Definition:** For any  $\pi$ , set  $L(s, \pi)$  to be

the GCD of the family  $Z(s + \frac{n-1}{2}, \phi, f, f^\vee)$ .

By (iii), it gives the right answer for unramified reps. So it is not unreasonable.

**Example:** Assume  $\pi$  is supercuspidal. Then

$$Z(s, \phi, f, f^\vee) = \int_{Z \backslash G} \langle f^\vee, g \cdot f \rangle \cdot |\det(g)|^s \cdot$$

$$\left( \int_Z \phi(zg) \cdot |z|^{ns} \cdot \omega_\pi(z) dz \right) dg$$

This is entire, so that

$$L(s, \pi) = 1.$$



## Local Functional Eqn

Fix additive character  $\psi$  of  $F$ . This gives an additive character on  $M_n(F)$ :

$$\psi \circ \text{Tr} : x \mapsto \psi(\text{Tr}(x)).$$

For an additive Haar measure  $dx$  on  $M_n(F)$ , have the Fourier transform relative to  $\psi \circ \text{Tr}$ :

$$\phi \mapsto \hat{\phi}$$

Then one has:

$$\frac{Z(\frac{n+1}{2} - s, \hat{\phi}, f^\vee, f)}{L(1 - s, \pi^\vee)} = \epsilon(s, \pi, \psi) \cdot \frac{Z(s + \frac{n-1}{2}, \hat{\phi}, f, f^\vee)}{L(s, \pi)}$$

for some local epsilon factor

$$\epsilon(s, \pi, \psi) = a \cdot q^{bs}.$$

When  $\pi$  is unramified, and  $\psi \circ \text{Tr}$  has conductor  $M_n(\mathcal{O})$ , one has

$$\epsilon(s, \pi, \psi) = 1.$$

## Summary:

By considering a family of zeta integrals which naturally extends the case treated by Tate, we have defined the local L-factor

$$L(s, \pi_v)$$

and the local epsilon factor

$$\epsilon(s, \pi, \psi),$$

together with a local functional eqn.

So given a cuspidal automorphic  $\pi = \otimes_v \pi_v$ , we could define

$$L(s, \pi) = \prod_v L(s, \pi_v)$$

$$\epsilon(s, \pi) = \epsilon(s, \pi_v, \psi_v)$$

“Cuspidal automorphicity” implies that the first product converges when  $\operatorname{Re}(s) \gg 0$ . But is  $L(s, \pi)$  nice?

## Global Matrix Coefficients

Suppose that

$$\pi = \otimes'_v \pi_v \subset \mathcal{A}_0(G)$$

Then its contragredient  $\pi^\vee$  is also cuspidal automorphic, so that

$$\pi^\vee \subset \mathcal{A}_0(G).$$

A  $G(\mathbb{A})$ -invariant pairing

$$\pi^\vee \otimes \pi \longrightarrow \mathbb{C}$$

can be given by the explicit integral

$$f^\vee \otimes f \mapsto \int_{Z(\mathbb{A}) \backslash \mathrm{GL}_n(F) \backslash \mathrm{GL}_n(\mathbb{A})} f^\vee(h) \cdot f(h) dh.$$

Denote this linear form by  $\langle -, - \rangle_{Pet}$ .

Then the function (a global matrix coeff)

$$g \mapsto \langle f^\vee, g \cdot f \rangle_{Pet}$$

is explicitly given by

$$g \mapsto \int_{Z(\mathbb{A}) \cdot \mathrm{GL}_n(F) \backslash \mathrm{GL}_n(\mathbb{A})} f^\vee(h) \cdot f(hg) dh.$$

Note that

$$\dim \mathrm{Hom}_{G(F_v)}(\pi_v^\vee \otimes \pi_v, \mathbb{C}) = 1,$$

for all  $v$ , and

$$\dim \mathrm{Hom}_{G(\mathbb{A})}(\pi^\vee \otimes \pi, \mathbb{C}) = 1$$

So, if we pick some

$$\langle -, - \rangle_v \in \mathrm{Hom}_{G(F_v)}(\pi_v^\vee \otimes \pi_v, \mathbb{C})$$

then we get a factorization

$$\langle f^\vee, f \rangle_{Pet} = \prod_v \langle f_v^\vee, f_v \rangle_v.$$

## Global Zeta Integrals

Given cuspidal  $\pi$  and  $\pi^\vee$ , we can now define the global zeta integral

$$Z(s, \phi, f, f^\vee) = \int_{G(\mathbb{A})} \phi(g) \cdot \langle f^\vee, g \cdot f_v \rangle_{Pet} \cdot |\det(g)|^s dg$$

for  $f \in \pi$ ,  $f^\vee \in \pi^\vee$  and  $\phi \in S(M_n(\mathbb{A}))$ .

Because of the factorization

$$\langle f^\vee, f \rangle_{Pet} = \prod_v \langle f_v^\vee, f_v \rangle_v,$$

we obtain at least formally

$$Z(s, \phi, f, f^\vee) = \prod_v Z(s, \phi_v, f_v, f_v^\vee)$$

when  $\phi$ ,  $f$  and  $f^\vee$  are factorizable.

This allows us to pass from global to local zeta integrals.

## Main Global Theorem

(i) There is a  $c$  such that  $Z(s, \phi, f, f^\vee)$  converges for all  $\phi, f$  and  $f^\vee$  whenever  $\operatorname{Re}(s) > c$ .

(ii)  $Z(s, \phi, f, f^\vee)$  has analytic continuation to  $\mathbb{C}$ .

(iii) There is a global functional eqn

$$Z(n - s, \hat{\phi}, f^\vee, f) = Z(s, \phi, f, f^\vee).$$

**Corollary:** The global L-function

$$\Lambda(s, \pi) = \prod_v L(s, \pi_v)$$

has analytic continuation to  $\mathbb{C}$  and satisfies a functional eqn

$$\epsilon(s, \pi) \cdot L(1 - s, \pi^\vee) = L(s, \pi).$$

## Summary:

At this point, we have realized our goals for  $GL(n)$  by generalizing the paradigm of Tate's thesis. Thus we have produced many "nice" L-functions (to the extent that we know cuspidal representations exist!)

Is this the end of the story?

## Summary:

At this point, we have realized our goals for  $GL(n)$  by generalizing the paradigm of Tate's thesis. Thus we have produced many "nice" L-functions (to the extent that we know cuspidal representations exist!)

Is this the end of the story?

Mmmm.....not quite.....

Let's examine the case  $n = 2$ , where the theory of L-functions of modular forms was developed by Hecke.



## L-functions of Modular Forms

Given a cusp form of level 1 and even weight  $k$ ,

$$f(z) = \sum_{n \geq 0} a_n(f) e^{2\pi i n z},$$

one may consider the Dirichlet series:

$$L(s, f) = \sum_{n \geq 1} \frac{a_n(f)}{n^s}.$$

The proof that this is nice relies on the fact that  $L(s, f)$  is related to  $f$  by a Mellin transform:

$$\int_0^\infty f(iy) \cdot y^s \cdot \frac{dy}{y} = (2\pi)^{-s} \cdot \Gamma(s) \cdot L(s, f)$$

**Proof:** When  $Re(s)$  is large, we have:

$$\Lambda(s, f) = \int_0^\infty f(iy) \cdot y^s \cdot \frac{dy}{y}.$$

But the RHS is convergent for all  $s$  (and thus gives (i)). This is because:

- $f(iy)$  is exponentially decreasing as  $y \rightarrow \infty$ , since  $f$  is cuspidal.
- since  $f$  is modular with respect to

$$w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

we have:

$$f(iy) = (-1)^{k/2} \cdot y^{-k} \cdot f(i/y).$$

So as  $y \rightarrow 0$ ,  $f(iy) \rightarrow 0$  faster than any power of  $y$ .

To see (ii), note that

$$\begin{aligned} & \Lambda(s, f) \\ &= \int_0^\infty f(iy) \cdot y^s \cdot \frac{dy}{y} \\ &= \int_0^\infty (-1)^{k/2} y^{-k} f(i/y) y^s \frac{dy}{y} \\ &= (-1)^{k/2} \cdot \int_0^\infty f(it) t^{k-s} \cdot \frac{dt}{t} \quad (t = 1/y). \\ &= \Lambda(k - s, f) \end{aligned}$$

## What is the point?

By a well-known dictionary,

{cuspidal Hecke eigenforms  $f$ }



{cuspidal representations  $\pi$  of  $GL(2)$ }.

In this dictionary,

$$\Lambda(s, f) \leftrightarrow \Lambda(s, \pi).$$

But does Hecke's proof of the "niceness" of  $\Lambda(s, f)$  translate to the proof by Godement-Jacquet of the "niceness" of  $\Lambda(s, \pi)$  (for  $n = 2$ )?

If not, what does it translate to?

This suggests: an L-function may be amenable to the "zeta integral" treatment in more than one way!

## Variants of $L(s, f)$

Let  $f = \sum_n a_n q^n$  be as above.

## Twist by characters

If  $\chi$  is a Dirichlet character, then consider

$$L(s, f, \chi) = \sum_{n \geq 1} \frac{a_n \cdot \chi(n)}{n^s}.$$

If  $f$  is a Hecke eigenform, this L-function is “nice”. This is proved via the integral representation:

$$L(s, f, \chi) \approx \int_0^\infty f(it) \cdot \chi(t) \cdot t^{s-1} d^\times t,$$

which is just a simple variant of Hecke’s treatment of  $L(s, f)$ . Moreover,

$$L(s, f, \chi) = \prod_p \frac{1}{1 - a_p \cdot \chi(p) \cdot p^{-s}}.$$

## Rankin-Selberg L-function

If

$$g = \sum_n b_n q^n,$$

is another cuspidal Hecke eigenform of level 1, then Rankin and Selberg independently considered

$$L(s, f \times g) = \sum_n \frac{a_n b_n}{n^s}.$$

They showed this is “nice” via the integral representation:

$$L(s, f \times g) \approx \int_{\mathcal{H}} f(z) \cdot g(z) \cdot E(z, s) \frac{dz}{\text{Im}(z)^2}$$

where  $E(s, z)$  is a non-holomorphic Eisenstein series.

Moreover, if

$$L(s, f) = \prod_p \frac{1}{(1 - a_{1,p}p^{-s})(1 - a_{2,p}p^{-s})}$$

$$L(s, g) = \prod_p \frac{1}{(1 - b_{1,p}p^{-s})(1 - b_{2,p}p^{-s})},$$

then the local Euler factor at  $p$  of  $L(s, f \times g)$  is

$$\prod_{i,j=1}^2 \frac{1}{(1 - a_{i,p}b_{j,p}p^{-s})}$$

These classical examples suggest:

At least for  $n = 2$ , one can obtain more nice L-functions from cuspidal  $\pi$  besides the standard L-function  $L(s, \pi)$ .

Indeed, it suggests that:

For cuspidal automorphic representations

$$\begin{cases} \pi_1 \text{ of } \mathrm{GL}(n_1) \\ \pi_2 \text{ of } \mathrm{GL}(n_2), \end{cases}$$

one might expect to define a “nice” L-function

$$L(s, \pi_1 \times \pi_2)$$

in some way.



## Automorphic Rankin-Selberg L-function

Suppose

$$\pi_1 = \otimes_v \pi_{1,v} \quad \text{and} \quad \pi_2 = \otimes_v \pi_{2,v}.$$

Outside a finite set  $S$  of places of  $F$ :

$$\pi_1 \longrightarrow \{s_v \in \mathrm{GL}_{n_1}(\mathbb{C}) = \mathrm{GL}(V_1)\},$$

$$\pi_2 \longrightarrow \{t_v \in \mathrm{GL}_{n_2}(\mathbb{C}) = \mathrm{GL}(V_2)\}.$$

Now we have the tensor product rep of complex groups:

$$r : \mathrm{GL}(V_1) \times \mathrm{GL}(V_2) \longrightarrow \mathrm{GL}(V_1 \otimes V_2)$$

So we obtain:

$$\{r(s_v, t_v) = s_v \otimes t_v \in \mathrm{GL}(V_1 \otimes V_2)\}.$$

**Definition:**

$$L(s, \pi_{1,v} \times \pi_{2,v}) = \frac{1}{\det(1 - q_v^{-s} \cdot s_v \otimes t_v | V_1 \otimes V_2)}.$$

This allows one to define  $L^S(s, \pi_1 \times \pi_2)$  ( $\mathrm{Re}(s) \gg 0$ ).

## Automorphic L-functions à la Langlands

The above construction can be generalized.

Let  $\pi = \otimes_v \pi_v$  be a cuspidal automorphic rep of  $G(\mathbb{A})$ . Then outside of a finite set  $S$ ,

$$\pi \longrightarrow \{s_v \in \widehat{G}(\mathbb{C})\}.$$

Given a representation

$$r : \widehat{G}(\mathbb{C}) \longrightarrow \mathrm{GL}(V),$$

one obtains a collection of semisimple elements  $r(s_v)$  for  $v \notin S$ , well-defined up to conjugacy.

Then one sets

$$L(s, \pi_v, r) := \frac{1}{\det(1 - q_v^{-s} r(s_v) | V)}$$

and

$$L^S(s, \pi, r) := \prod_{v \notin S} L(s, \pi_v, r).$$

## Langlands' Conjecture

One can define  $L(s, \pi_v, r)$  and  $\epsilon(s, \pi, r)$  for general  $\pi_v$ , so that the global  $\Lambda(s, \pi, r)$  is nice.

**Examples:** Let's take  $G = \mathrm{GL}(n)$ , so that  $\widehat{G} = \mathrm{GL}_n(\mathbb{C}) = \mathrm{GL}(V)$ . Some common  $r$ 's are:

$$r = id : \mathrm{GL}(V) \longrightarrow \mathrm{GL}(V)$$

$$r = \mathrm{Sym}^k : \mathrm{GL}(V) \longrightarrow \mathrm{GL}(\mathrm{Sym}^k V)$$

$$r = \wedge^k : \mathrm{GL}(V) \longrightarrow \mathrm{GL}(\wedge^k V).$$

**Upshot:** Nice L-functions are associated to pairs  $(\pi, r)$ .

## The Zeta Integral for $\mathbf{GL}(n) \times \mathbf{GL}(n - 1)$

In the rest of the lecture, we will describe the relevant zeta integrals for the Rankin-Selberg L-functions. The analog of Tate's thesis was developed by Jacquet-Piatetski-Shapiro-Shalika.

Let  $\pi$  and  $\pi'$  be cuspidal reps of  $\mathbf{GL}(n)$  and  $\mathbf{GL}(n - 1)$  resp. We consider

$$Z(s + 1/2, f, f') = \int_{\mathbf{GL}_{n-1}(F) \backslash \mathbf{GL}_{n-1}(\mathbb{A})} f \left( \begin{pmatrix} h & 0 \\ 0 & 1 \end{pmatrix} \right) \cdot f'(h) \cdot |\det(h)|^s dh,$$

for  $f \in \pi$  and  $f' \in \pi'$ .

When  $n = 2$ , observe that this is just the automorphic version of Hecke's classical work and was done by Jacquet-Langlands.

## The Zeta Integral for $\mathbf{GL}(n) \times \mathbf{GL}(n)$

Suppose now  $\pi_1$  and  $\pi_2$  are two cuspidal reps of  $\mathbf{GL}(n)$ . The global zeta integral for this case is:

$$\begin{aligned} Z(s, f_1, f_2, \phi) &= \\ &= \int_{\mathbf{GL}_n(F) \backslash \mathbf{GL}_n(\mathbb{A})} f_1(g) \cdot f_2(g) \cdot E(s, \phi) dg \end{aligned}$$

where  $E(s, \phi)$  is an Eisenstein series attached to a Schwarz function  $\phi$  on  $\mathbb{A}^n$ .

Again, when  $n = 2$ , this is simply the automorphic analog of the classical work of Rankin-Selberg.

## Convergence

**Proposition:** The zeta integrals above converges absolutely for all  $s \in \mathbb{C}$  and thus define entire functions.

(Contrast this with Tate and Godement-Jacquet)

Consider the case  $GL(2) \times GL(1)$ . Let  $\pi$  be a cuspidal rep. of  $GL_2$  and  $\chi$  a Hecke character. Assume for simplicity that  $\pi$  has trivial central character.

The global zeta integral is:

$$Z(s + 1/2, f, \chi) = \int_{F^\times \backslash \mathbb{A}^\times} f \left( \begin{pmatrix} t & 0 \\ 0 & 1 \end{pmatrix} \right) \cdot \chi(t) \cdot |t|^s d^\times t.$$

## Proof

Using

$$F^\times \setminus \mathbb{A}^\times \cong \mathbb{R}_+^\times \times (F^\times \setminus \mathbb{A}^1),$$

one has

$$\int_{F^\times \setminus \mathbb{A}^\times} = \int_0^\infty t^s \cdot \left( \int_{F^\times \setminus \mathbb{A}^1} f \left( \begin{pmatrix} tx & 0 \\ 0 & 1 \end{pmatrix} dx \right) d^\times t.$$

The inner integral is over a compact set, and defines a function of  $t$  with the following properties:

(i) as  $t \rightarrow \infty$ , it decreases rapidly, since  $f$  is cuspidal;

(ii) as  $t \rightarrow 0$ , one has

$$\begin{aligned} & f \begin{pmatrix} tx & 0 \\ 0 & 1 \end{pmatrix} \\ &= f \left( \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \cdot \begin{pmatrix} tx & 0 \\ 0 & 1 \end{pmatrix} \right) \\ &= f \left( \begin{pmatrix} 1 & 0 \\ 0 & tx \end{pmatrix} \cdot \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right) \\ &= (w \cdot f) \begin{pmatrix} 1 & 0 \\ 0 & tx \end{pmatrix} \\ &= (w \cdot f) \begin{pmatrix} 1/tx & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

which is rapidly decreasing as  $t \rightarrow 0$ .

Compare this with Hecke's classical argument.



## Whittaker-Fourier coefficients

It is not clear why these zeta integrals factor into product of local integrals. It is also not clear what are the local zeta integrals.

Let  $f$  be an automorphic form on  $G = GL_n$ . If  $N \subset G$  is a unipotent subgroup, say the unipotent radical of a parabolic subgroup, one can consider the Fourier coefficients of  $f$  along  $N$ .

Namely, if  $\chi$  is a unitary character of  $N(\mathbb{A})$  which is trivial on  $N(F)$ , we have

$$f_{N,\chi}(g) = \int_{N(F)\backslash N(\mathbb{A})} \overline{\chi(n)} \cdot f/ng) dn$$

Note that if  $N$  is abelian, then we have:

$$f(g) = \sum_{\chi} f_{N,\chi}(g).$$

We apply the above to the unipotent radical  $U$  of the Borel subgroup  $B$  of upper triangular matrices.

**Definition:** A character  $\chi$  of  $U(\mathbb{A})$  is **generic** if the stabilizer of  $\chi$  in  $T(\mathbb{A})$  is the center  $Z(\mathbb{A})$  of  $GL_n(\mathbb{A})$ .

### Examples:

(i) When  $G = GL_2$ , a generic character of  $U(F)\backslash U(\mathbb{A})$  just means a non-trivial character of  $F\backslash\mathbb{A}$ . If we fix a character  $\psi$  of  $F\backslash\mathbb{A}$ , then all others are of the form

$$\chi_a(x) = \psi(ax)$$

for some  $a \in F$ .

(ii) When  $G = GL_3$ , a character of  $U(\mathbb{A})$  trivial on  $U(F)$  has the form

$$\chi_{a_1, a_2} \left( \begin{pmatrix} 1 & x_1 & * \\ 0 & 1 & x_2 \\ 0 & 0 & 1 \end{pmatrix} \right) = \psi(a_1 x_1 + a_2 x_2)$$

for some  $a_1$  and  $a_2 \in F$ .

Saying that  $\chi_{a_1, a_2}$  is generic means that  $a_1$  and  $a_2$  are both non-zero.

**Definition:** A **Whittaker-Fourier coefficient** of  $f$  is a Fourier coefficient  $f_{U,\chi}$  with  $\chi$  generic.

Easy to see that the group  $Z(F)\backslash T(F)$  acts simply transitively on the generic characters of  $U(\mathbb{A})$  trivial on  $U(F)$ . If  $t \cdot \chi = \chi'$  with  $t \in T(F)$ , then

$$f_{U,\chi'}(g) = f_{U,\chi}(t^{-1}g).$$

So  $f_{U,\chi} \neq 0$  iff  $f_{U,\chi'} \neq 0$  for generic  $\chi$  and  $\chi'$ .

**Definition:** A representation  $\pi \in \mathcal{A}(G)$  is said to be **globally generic** if there exists  $f \in \pi$  whose Fourier-Whittaker coefficient  $f_{U,\chi} \neq 0$  for some (and hence all) generic characters  $\chi$ .

Equivalently, the linear form on  $\pi$ :

$$f \mapsto f_{U,\chi}(1)$$

is a nonzero element of

$$\text{Hom}_{U(\mathbb{A})}(\pi, \mathbb{C}_\chi).$$

## Whittaker functionals

One can define the notion of a “generic representation” locally.

Let  $\pi_v$  be a representation of  $G(F_v)$  and let

$$\chi_v : U(F_v) \longrightarrow \mathbb{C}$$

be a generic unitary character.

**Definition:**  $\pi_v$  is an **abstractly generic** representation if

$$\mathrm{Hom}_{U(F_v)}(\pi_v, \mathbb{C}_{\chi_v}) \neq 0.$$

An element in this Hom space is called a local **Whittaker functional**.

**Theorem (Local uniqueness of Whittaker functionals):**

Let  $\pi_v$  be an irreducible smooth representation of  $G(F_v)$ . Then

$$\dim \mathrm{Hom}_{U(F_v)}(\pi_v, \mathbb{C}_{\chi_v}) \leq 1.$$

## Fourier Expansion of a Cusp Form

**Proposition:** We have the expansion

$$f(g) = \sum_{\gamma \in U_{n-1}(F) \backslash GL_{n-1}(F)} f_{U,\chi} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right).$$

Here  $U_{n-1}$  is the unipotent radical of the Borel subgroup of  $GL_{n-1}$  and  $\chi$  is a generic character of  $U_n$ .

**Corollary:** A cuspidal rep of  $GL_n$  is globally generic.

Though not too deep, the proof of this proposition is quite intricate to execute, except when  $n = 2$ :

$$f(g) = \sum_{\chi \neq 1} f_{U,\chi}(g)$$

$$= \sum_{a \in F^\times} f_{U,\chi_a}(g)$$

$$= \sum_{a \in F^\times} f_{U,\chi} \left( \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right) \right)$$

## Euler Product of Zeta Integrals

Work with  $GL_n \times GL_{n-1}$  case.

$$\begin{aligned} Z(s + 1/2, f, f') &= \\ &= \int_{GL_{n-1}(F) \backslash GL_{n-1}(\mathbb{A})} \sum_{\gamma \in U_{n-1}(F) \backslash GL_{n-1}(F)} \\ &\quad f_{U, \chi} \left( \begin{pmatrix} \gamma h & 0 \\ 0 & 1 \end{pmatrix} \right) \cdot f'(h) \cdot |\det(h)|^s dh. \\ &= \int_{U_{n-1}(F) \backslash GL_{n-1}(\mathbb{A})} f_{U, \chi} \left( \begin{pmatrix} h & 0 \\ 0 & 1 \end{pmatrix} \right) \cdot f'(h) \cdot |\det(h)|^s dh \end{aligned}$$

$$\begin{aligned}
&= \int_{U_{n-1}(\mathbb{A}) \backslash \mathrm{GL}_{n-1}(\mathbb{A})} \int_{U_{n-1}(F) \backslash U_{n-1}(\mathbb{A})} \\
&\quad f_{U,\chi}(uh) \cdot f'(uh) \cdot |\det(h)|^s \, du \, dh \\
&= \int_{U_{n-1}(\mathbb{A}) \backslash \mathrm{GL}_{n-1}(\mathbb{A})} |\det(h)|^s \cdot f_{U,\chi}(h) \\
&\quad \cdot \left( \int_{U_{n-1}(F) \backslash U_{n-1}(\mathbb{A})} \chi(u) \cdot f'(uh) \, du \right) \, dh \\
&= \int_{U_{n-1}(\mathbb{A}) \backslash \mathrm{GL}_{n-1}(\mathbb{A})} |\det(h)|^s \cdot f_{U,\chi}(h) \cdot f'_{U',\chi'}(h) \, dh
\end{aligned}$$

with

$$\chi' = \chi^{-1}|_{U_{n-1}}$$



By the local uniqueness of Whittaker functionals,

$$f_{U,\chi}(h) = \prod_v W_v(h_v \cdot f_v)$$

for some

$$W_v \in \text{Hom}_{N(F_v)}(\pi_v, \mathbb{C}_{\chi_v}).$$

This gives, at least formally,

$$Z(s, f, f') = \prod_v Z_v(s, f_v, f'_v)$$

where

$$Z_v(s + 1/2, f_v, f'_v) = \int_{U(F_v) \backslash \text{GL}_{n-1}(F_v)} W_v(h \cdot f_v) \cdot W'_v(h \cdot f'_v) \cdot |\det(h)|^s dh.$$

It remains to develop the local theory for this family of local zeta integrals.....

## Summary:

(i) We explained how (partial) automorphic L-functions  $L(s, \pi, r)$  are defined, following Langlands.

(ii) We examined Rankin-Selberg L-functions for  $GL(n) \times GL(m)$ , following the paradigm of Tate's thesis.

(iii) We noted that a given L-function can be attacked by possibly more than one family of zeta integrals.

As for finding a zeta integral that actually works for a given  $L(s, \pi, r)$ , it is truly an art.