

FACTORIZATION OF THIRD ORDER ALGEBRO-GEOMETRIC ODS ON SPECTRAL CURVES

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ABSTRACT. We consider the classical factorization problem of a third order ordinary differential operator $L - \lambda$, for a spectral parameter λ . It is assumed that L is an algebro-geometric operator, i. e. it has a nontrivial centralizer, which can be seen as the affine ring of an algebraic curve, the famous *spectral curve* Γ . We give a symbolic algorithm, using differential subresultants, to factor $L - \lambda_0$ for all but a finite number of points $P = (\lambda_0, \mu_0, \gamma_0)$ of Γ based on the ring structure of the centralizer.

INTRODUCTION

The factorization of ordinary differential operators, from the point of view of symbolic computation, has attracted much attention at least for a couple of decades, see for instance [1, 8, 15, 14], just to name a few. For the factorization of second order algebro-geometric ordinary differential operator L , a new approach was recently presented in [10] to factorize $L - \lambda$. It is indeed the centralizer, the set of all operators commuting with a given operator L , the structure that guaranties an effective factorization of $L - \lambda$, for an spectral parameter λ .

Continuing with this line of work, in this occasion we consider the effective factorization problem of $L - \lambda$ for an ordinary third-order differential operator

$$(1) \quad L = \partial^3 + u_1 \partial + u_0,$$

with (stationary) potentials u_0, u_1 in a differential field K , with derivation ∂ and field of constants \mathbb{C} , the field of complex numbers. The potentials u_0 and u_1 are assumed to be solutions of a stationary Boussinesq system [6].

Boussinesq systems have been widely studied, especially their rational solutions [4, 16]. They generate a hierarchy of integrable equations, the *Boussinesq hierarchy*, one of the Gelfand and Dickii integrable hierarchies of equations associated to differential operators of any order [5]. The stationary version of the Boussinesq hierarchy ultimately gives families of differential polynomials, in the coefficients of L , that are conditions for the existence of a nontrivial operator A commuting with L .

The Burchnall and Chaundy Theorem [2] establishes a correspondence between pairs of commuting differential operators, L and A , and algebraic curves, their *spectral curve* Γ ,

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classically defined by the so called Burchnell and Chaundy (BC) polynomial. It is another famous result, Schur's Theorem [13], the one ensuring that centralizers have quotient fields that are function fields of one variable, therefore they can be seen as affine rings of curves, and in a formal sense these centralizers are *spectral curves*.

This is the approach followed in our work. We develop a factorization algorithm for $L - \lambda_0$, $\lambda_0 \in \mathbb{C}$, with $L \in K[\partial]$ as in (1), for almost every point $P_0 = (\lambda_0, \mu_0, \gamma_0)$ of the spectral curve Γ of L . For this purpose, we have to establish an appropriate theoretical framework by means of Goodearl's results on centralizers, [7].

1. FACTORIZATION ON THE SPECTRAL CURVE

Let K be a differential field with constants field algebraically closed of zero characteristic. We present the third order operators associated to classical Boussinesq systems as treated in [6]. In consequence, we rewrite L as

$$(2) \quad L_3 = \partial^3 + q_1 \partial + \frac{1}{2} q_1' + q_0.$$

Using the notation of [6], we consider a differential recursion given by two sequences of differential polynomials $f_{n,i}, g_{n,i}$ in the ring of differential polynomials $\mathbf{C}\{u_0, u_1\}$. By direct computation we verify that:

$$(3) \quad Bsq_{3n+3+i} = \mathcal{R} Bsq_{3n+i}, \text{ with } Bsq_{3n+i} = \begin{pmatrix} 3\partial f_{n,i} \\ 3\partial g_{n,i} \end{pmatrix} \text{ for } i = 1, 2,$$

and initial conditions $(f_{0,1}, g_{0,1}) = (0, 1)$, $(f_{0,2}, g_{0,2}) = (1, 0)$, and we define the vectors:

$$(4) \quad v_{n+1,i} = \mathcal{R}^* v_{n,i}, \quad v_{n,i} = \begin{pmatrix} f_{n,i} \\ g_{n,i} \end{pmatrix} \text{ and } v_{0,1} := \begin{pmatrix} 0 \\ 1 \end{pmatrix}, v_{0,2} := \begin{pmatrix} 1 \\ 0 \end{pmatrix},$$

for matrices of pseudodifferential operators:

$$(5) \quad \mathcal{R} = \begin{pmatrix} \mathcal{R}_1 & \mathcal{R}_2 \\ \mathcal{R}_3 & \mathcal{R}_4 \end{pmatrix}, \quad \mathcal{R}^* = \partial^{-1} \mathcal{R} \partial = \begin{pmatrix} \partial^{-1} \mathcal{R}_1 \partial & \partial^{-1} \mathcal{R}_2 \partial \\ \partial^{-1} \mathcal{R}_3 \partial & \partial^{-1} \mathcal{R}_4 \partial \end{pmatrix}$$

and pseudodifferential operators $\mathcal{R}_1 = 3q_0 + 2q_0' \partial^{-1}$, $\mathcal{R}_2 = 2\partial^2 + 2q_1 + q_1' \partial^{-1}$, $\mathcal{R}_4 = 3q_0 + q_0' \partial^{-1}$, and $\mathcal{R}_3 = -\frac{1}{6} \partial^4 - \frac{5}{6} q_1 \partial^2 - \frac{5}{4} q_1' \partial - \frac{2}{3} q_1'' - \frac{3}{4} q_1''' + (-\frac{2}{3} q_1 q_1' - \frac{1}{6} q_1''') \partial^{-1}$.

Whenever the coefficients of L , $(u_0, u_1) = (q_1, \frac{1}{2} q_1' + q_0) \in K \times K$, satisfy a Boussinesq system $Bsq_\ell = \mathbf{0}$, we say that L is a *Boussinesq operator*. From now on we will consider L to be a Boussinesq operator.

Next, let A be a differential operator in the centralizer of L in $K[\partial]$. We are interested in the common solutions of the system of linear differential equations

$$(L - \lambda)\psi = 0, (A - \mu)\psi = 0.$$

The tools we have chosen to study this problem are the differential resultant and the differential subresultants due to the following theorem of E. Previato.

Theorem 1.1 (E. Previato, [11]). *Given $P, Q \in K[\partial]$ such that $[P, Q] = 0$ then*

$$g(\lambda, \mu) = \partial \text{Res}(P - \lambda, Q - \mu) \in C[\lambda, \mu]$$

and also $g(P, Q) = 0$. Hence g is a defining polynomial for the spectral curve associated to the pair P, Q .

Then we get the factorization formula (6). Moreover, the function ϕ in the next theorem can be effectively computed by means of differential subresultants [3]. See [12] for more details.

Theorem 1.2. *Let L be a geometrically reducible Boussinesq operator, and Γ its spectral curve. There exists a rational function $\phi \in K(\Gamma)$ such that, for every point $P_0 = (\lambda_0, \mu_0, \gamma_0)$ in $\Gamma \setminus Z$, the operator $L - \lambda_0$ has as right factor $\partial + \phi(P_0) = \gcd(L - \lambda_0, A_1 - \mu_0, A_2 - \gamma_0)$. Moreover, the following formula can be easily verified in $K[\partial]$:*

$$(6) \quad L - \lambda_0 = (\partial^2 + \phi(P_0)\partial + \phi(P_0)^2 + 2\phi(P_0)' + u_1)(\partial + \phi(P_0)).$$

To illustrate our results, we perform our methods on $L = \partial^3 - \frac{6}{x^2}\partial + \frac{12}{x^3} + h$, with $h \neq 0$, to obtain $A_1 = \partial^4 - \frac{8}{x^2}\partial^2 + \frac{24}{x^3}\partial - \frac{24}{x^4}$ and $A_2 = \partial^5 - \frac{10}{x^2}\partial^3 + \frac{40}{x^3}\partial^2 - \frac{80}{x^4}\partial + \frac{80}{x^5}$ in the centralizer of L . An effective computation of the basis of the centralizer of L is the first step towards an effective Picard-Vessiot theory for spectral problems initiated in [10] and [9] for second order operators. We will address this topic in a near future work.

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