CONSTRAINED VON NEUMANN INEQUALITIES, HIGHER RANK NUMERICAL RANGE AND APPLICATION TO HARMONIC ANALYSIS

HAYKEL GAAYA

Let denote by $S(\phi)$ the extremal operator defined by the compression of the unilateral shift S to the model subspace $H(\phi) = \mathbb{H}^2 \ominus \phi \mathbb{H}^2$ as the following $S(\phi)f(z) = P(zf(z))$, where P denotes the orthogonal projection from the Hardy space \mathbb{H}^2 onto $H(\phi)$ and ϕ is an inner function on the unit disc. The numerical radius seems to be important and have many applications in harmonic analysis like the following theorem wich gives an extension of a previous result of C. Badea and G. Cassier [1].

Theorem 0.1 ([7] Theorem 2.1). Let F = P/Q be a rational function which is positive on the torus, where P and Q are coprime. Denote by

$$\phi(z) = \prod_{j=1}^{p} \left(\frac{z - \alpha_j}{1 - \overline{\alpha_j}z}\right)^{m_j}$$

and

$$\psi(z) = \prod_{j=1}^{q} \left(\frac{z-\beta_j}{1-\overline{\beta_j}z}\right)^{d_j}$$

the respectively finite Blashke products formed by the nonzero roots of P and Q in the open disc, let $m = \sum_{j=1}^{p} m_j$ and $d = \sum_{j=1}^{q} d_j$. Then the Taylor coefficient c_k of order k of F satisfies the following inequality:

$$|c_k| \leqslant c_0 \ \omega_2(S^{*k}(\varphi)), \ where \ \varphi(z) = z^{\max(0,m-d+1)}\psi(z).$$

In this talk, we give an explicit formula of the numerical radius of $S(\phi)$ in the particular case where ϕ is a finite Blaschke product with unique zero and an estimate on the general case. We establish also a sharpened Schwarz-Pick operatorial inequality generalizing a U. Haagerup and P. de la Harpe result for nilpotent operators [6].

The second part is devoted to the study of the higher rank-k numerical range denoted by $\Lambda_k(T)$ which is the set of all complex number λ satisfying $PTP = \lambda P$ for some rank-k orthogonal projection P. This notion was introduced by M.-D. Choi, D. W. Kribs, et K. Zyczkowski motivated by a problem in Physics. We show that if S_n is the n-dimensional shift then its rank-k numerical range is the circular disc centered in zero and with a precise radius.

References

- C. Badea and G. Cassier, Constrained von Neumann inequalities, Adv. Math. 166 (2002), no. 2, 260–297.
- [2] G. Cassier et N. Suciu, Sharpened forms of a von Neumann inequality for ρ-contractions. Math. Scand. 102 (2008), no. 2, 265–282.
- [3] M.-D. Choi, M. Giesinger, J. A. Holbrook, and D. W. Kribs, Geometry of higher-rank numerical ranges, Linear and Multilinear Algebra 56 (2008), 53-64.
- [4] M.-D. Choi, J. A. Holbrook, D.W. Kribs, and K. Zyczkowski, Higher-rank numerical ranges of unitary and normal matrices, Operators and Matrices 1 (2007), 409-426.
- [5] M.-D. Choi, D. W. Kribs, and K. Zyczkowski, Higher-rank numerical ranges and compression

HAYKEL GAAYA

- [6] U. Haagerup and P. de la Harpe, The numerical radius of a nilpotent operator on a Hilbert space, Proc. Amer. Math. Soc. 115(1992), 371–379.
- [7] H. Gaaya, On the numerical radius of the truncated adjoint shift, Extracta Mathematicae Vol. 25, Num. 2, 165–182 (2010).
- [8] A sharpened Schwarz-Pick operatorial inequality for nilpotent operators, submitted in Indiana University Mathematics Journal.
- [9] H. Gaaya, On the higher rank range of the shift operator, Journal of Mathematical Sciences: Advances and Applications. (In press).
- [10] H. L. Gau and P. Y. Wu, Numerical range and Poncelet property, Taiwanese J. Math. 7 (2003), no. 2, 173–193.
- [11] H. L. Gau and P. Y. Wu, Numerical range of $S(\phi)$. Linear and Multilinear Algebra 45 (1998), no. 1, 49–73.
- [12] U. Grenander and G. Szegö, Toeplitz forms and their applications. California Monographs in Mathematical Sciences University of California Press, Berkeley-Los Angeles 1958.

 $\ddagger.$ Institute Camille Jordan, Office 107 University of Lyon
1, 43 Bd November 11, 1918, 69622-Villeurbanne, France.

E-mail address: ‡gaaya@math.univ-lyon1.fr

 $\mathbf{2}$