Sums of finite subsets in \mathbb{R}^d

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Abstract

Let B_1, B_2, \ldots, B_m be nonempty finite subsets of \mathbb{R}^d with B_i not contained in an affine hyperplane for each $i \in \{2, 3, \ldots, m\}$. First we get a sharp lower bound on $|B_1 + B_2|$ when $|B_2| = d + 1$. Using this result and other ideas, we find a nontrivial lower bound on $|B_1 + B_2 + \ldots + B_m|$ which generalizes a result of M. Matolcsi and I. Z. Ruzsa [7].

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1 Introduction

For any nonempty subsets A and B of \mathbb{R}^d , $n \in \mathbb{N}$ and $\lambda \in \mathbb{R}$, we write

$$A + B := \{\mathbf{a} + \mathbf{b} : \mathbf{a} \in A, \mathbf{b} \in B\}$$

$$nA := \overbrace{A + A + \dots + A}^{n\text{-times}}$$

$$nA := \{-\mathbf{a} : \mathbf{a} \in A\}$$

$$\lambda \cdot A := \{\lambda \mathbf{a} : \mathbf{a} \in A\}.$$

We denote by dim A the affine dimension of A; thus dim A = d if and only if A is not contained in an affine hyperplane. The convex hull of A is denoted by convA.

Let A and B be nonempty finite subsets of \mathbb{R}^d . The lower bounds on |A + B| have been widely studied, see [1], [2], [3], [4], [5], [6], [7], [8], [9]. We shall present some new results in this direction.

2 First part

R. J. Gardner and P. Gronchi studied in [4] how to bound |A + B| in terms of |A|, |B| and d; in particular they proved the following statement.

Theorem 2.1 Let A and B be nonempty finite subsets of \mathbb{R}^d . Assume that $|B| \leq |A|$ and dim B = d. Then

$$|A+B| \ge |A| + (d-1)|B| + (|A|-d)^{\frac{d-1}{d}}(|B|-d)^{\frac{1}{d}} - \frac{d(d-1)}{2}$$

Proof. See [4, Thm. 6.5].

For each $m \in \mathbb{N}$, set

$$\sigma(m,1) := \max\left\{n \in \mathbb{Z} : \binom{n+d-1}{d} \le m-1\right\},\$$

and, for all $i \in \{2, 3, ..., d\}$,

$$\sigma(m,i) := \max\left\{n \in \mathbb{Z} : \binom{n+d-i}{d-i+1} \le m-1 - \sum_{j=1}^{i-1} \binom{\sigma(m,j)+d-j}{d-j+1}\right\};$$

hence the definition of $\sigma(m, d)$ leads to

$$m-1 = \sum_{i=1}^{d} {\sigma(m,i) + d - i \choose d - i + 1}$$

Theorem 2.2 Let A and B be nonempty finite subsets of \mathbb{R}^d . Assume that |B| = d + 1 and dim B = d. Then

$$|A + B| \ge 1 + \sum_{i=1}^{d} {\sigma(|A|, i) + d - i + 1 \choose d - i + 1}.$$

Theorem 2.2 is optimal in the sense that the equality can be achieved. Moreover, Gardner and Gronchi have shown in [4, p. 4004] that it is impossible to find c > 1 such that, for all A and B with dim $A = \dim B = d$, we have the inequality

$$|A + B| \ge c|A| + f_1(|B|) + f_2(d)$$

where f_1 (resp. f_2) depends only on |B| (resp. d). However, it is natural to ask if there is a slow decreasing function $f : \mathbb{R} \to \mathbb{R}$ such that f(x) > 1 for all x > 0 and

$$|A + B| \ge f(|A|)|A| + f_1(|B|) + f_2(d).$$

This is the motivation of the first part of our research. The first application of Theorem 2.2 will give an answer to the question asked above when |B| = d+1.

Corollary 2.3 Let A and B be nonempty finite subsets of \mathbb{R}^d and $n \in \mathbb{N} \cup \{0\}$. Assume that |B| = d + 1 and dim B = d. If $|A| \leq \binom{n+d}{d}$, then

$$|A+B| \ge \left(1 + \frac{d}{n+1}\right)|A|.$$

For any nonempty finite subset A of \mathbb{R}^d ,

$$\binom{\sigma(|A|+1,1)+d-1}{d} \le |A| < \binom{\sigma(|A|+1,1)+d}{d}.$$

Now note that for d > 1,

$$\left(\frac{\sigma(|A|+1,1)+1}{d}\right)^d \le \binom{\sigma(|A|+1,1)+d-1}{d} \le |A|;$$

then, for all $d \in \mathbb{N}$,

$$|A| + (|A| - d)^{\frac{d-1}{d}} \le \left(1 + \frac{d}{\sigma(|A| + 1, 1) + 1}\right)|A|$$

Therefore Corollary 2.3 provides a better lower bound than the one obtained by Theorem 2.1 when |B| = d + 1.

3 Second part

Another important result in the area is the following theorem of Matolcsi and Ruzsa which generalizes a famous result of G. A. Freiman [3, Lemma 1.14].

Theorem 3.1 Let A and B be nonempty finite subsets of \mathbb{R}^d . Assume that dim B = d and $A \subseteq \text{conv}B$. For any $m \in \mathbb{N}$,

$$|A + (m-1)B| \ge {\binom{d+m-1}{m-1}}|A| - {\binom{d+m-1}{m}}(m-1).$$

Proof. See [7, Thm. 1.5].

Matolsci and Ruzsa asked in [7, Prob. 1.8] if the lower bound of Theorem 3.1 was still correct for more general subsets of \mathbb{R}^d . Using Corollary 2.3 and other ideas, we get the following statement.

Theorem 3.2 Let B_1, B_2, \ldots, B_m be nonempty finite subsets of \mathbb{R}^d with $\dim B_2 = \dim B_3 = \ldots = \dim B_m = d$. For each $i \in \{1, 2, \ldots, m-1\}$, let $n_i \in \mathbb{N}$ be such that

$$B_1 + B_2 + \ldots + B_i \subseteq n_i \cdot \operatorname{conv} B_{i+1}.$$

Then

$$|B_1 + B_2 + \ldots + B_m| \ge \left(\prod_{i=1}^{m-1} \frac{n_i + d}{n_i}\right) |B_1| - \sum_{i=1}^{m-1} \left(\frac{\binom{n_i + d}{d-1}}{n_i} \prod_{j=i+1}^{m-1} \frac{n_j + d}{n_j}\right).$$

One application of Theorem 3.2 is the positive answer to the problem proposed by Matolsci and Ruzsa.

Corollary 3.3 Let B_1, B_2, \ldots, B_m be nonempty finite subsets of \mathbb{R}^d with dim $B_2 = \dim B_3 = \ldots = \dim B_m = d$. Assume that

$$B_1 \subseteq \operatorname{conv} B_2 \subseteq \operatorname{conv} B_3 \subseteq \ldots \subseteq \operatorname{conv} B_m.$$

Then

$$|B_1 + B_2 + \ldots + B_m| \ge {\binom{d+m-1}{m-1}}|B_1| - {\binom{d+m-1}{m}}(m-1).$$

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